

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.92

In Re Application Of: **Yukihiko Okumura**

OCT 14 2003

Serial No.
09/998,601

Filing Date
November 16, 2001

Examiner
Not Yet Assigned

Group Art Unit
2133

Title: **DATA TRANSMISSION METHOD, DATA TRANSMISSION SYSTEM,
TRANSMITTER AND RECEIVER**

RECEIVED

OCT 27 2003

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Technology Center 2100

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.92

In Re Application: **Yukihiko Okumura**

Serial No.
09/998,601

Filing Date
November 16, 2001

Examiner
Not Yet Assigned

Group Art Unit
2133

**DATA TRANSMISSION METHOD, DATA TRANSMISSION SYSTEM,
TRANSMITTER AND RECEIVER**

RECEIVED

OCT 27 2003

Payment of Fee

Technology Center 2100

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **23-3178**
as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit
account is being facsimile transmitted to the United States
Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited
on _____ with the U.S. Postal Service
as first class mail under 37 C.F.R. 1.8 and is
addressed to the Commissioner for Patents, P.O. Box
1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by
deposit account.**



Signature

Dated: **October 14, 2003**

Adrian J. Lee
Attorney for Applicant
Reg. No.: 42,785

CC:

10-1503

#9
2

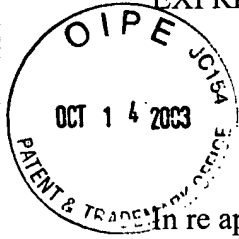
11.25.03

2133

EXPRESS MAIL NO. EV 302233920 US

PATENT APPLICATION

Docket No: 15689.92



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yukihiko Okumura

Serial No.: 09/998,601

Filed: November 16, 2001

For: DATA TRANSMISSION METHOD, DATA
TRANSMISSION SYSTEM, TRANSMITTER
AND RECEIVERArt Unit
2133**RECEIVED**

NOV 19 2003

TC 2600

RECEIVED

OCT 27 2003

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided. The concise explanation for Japanese Publication No.: 09238352A is provided in the English abstract of that publication.

DATED October 13, 2003.

Respectfully submitted,



ADRIAN J. LEE
Attorney for Applicant
Registration No. 42,785
Customer No.: 022913

WORKMAN NYDEGGER
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Fax: (801) 328-1707

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Yukihiko Okumura**

Docket No.

15689.92

Serial No.

09/998,601

Filing Date

November 16, 2001

Examiner

Not Yet Assigned

Group Art Unit

2133Invention: **DATA TRANSMISSION METHOD, DATA TRANSMISSION SYSTEM,
TRANSMITTER AND RECEIVER****OCT 14 2003****RECEIVED****OCT 27 2003**

I hereby certify that the following correspondence:

(see below)

Technology Center 2100*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

October 14, 2003*(Date)***ADRIAN J. LEE***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EV 302233920 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

Transmittal for Information Disclosure Statement (2 pages; duplicate);
Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages);
Form PTO-1449 Listing of References (1 page);
Legible Copy of References; (2 references)
Certificate of Express Mail (EV302233920US);
Acknowledgment Postcard